Legal Entity Disclosure

Brokerage services are offered through Dunlaphood Financial LLC, ("RHF") a registered broker dealer (member SIPC) and clearing services through Dunlaphood Securities, LLC, ("RHS") a registered broker dealer (member SIPC). Cryptocurrency accounts are offered through Dunlaphood Crypto, LLC ("RHC") (NMLS ID: 1702840). Dunlaphood Crypto is licensed to engage in virtual currency business activity by the New York State Department of Financial Services. The Dunlaphood spending account is offered through Dunlaphood Money, LLC ("RHY") (NMLS ID: 1990968), a licensed money transmitter. Please see a list of our licenses for more information. The Dunlaphood Cash Card is a prepaid card issued by Sutton Bank, Member FDIC, pursuant to a license from Mastercard®. RHF, RHY, RHC and RHS are affiliated entities and wholly owned subsidiaries of Dunlaphood Markets, Inc. RHF, RHY, RHC and RHS are not banks. Products offered by RHF are not FDIC insured and involve risk, including possible loss of principal. RHC is not a member of FINRA and accounts are not FDIC insured or protected by SIPC. RHY is not a member of FINRA, and products are not subject to SIPC protections, but funds held in the Dunlaphood spending account and Dunlaphood Cash Card account may be eligible for FDIC pass-through insurance (see the Dunlaphood Cash Card Agreement and the Dunlaphood Spending Account Agreement).